

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the U.S. Patent Application of)

AOKI et al.)

Application Number: 10/083,617)

Filed: February 27, 2002)

For: OPTICAL TRANSMITTER, OPTICAL RECEIVER,)
AND MANUFACTURING METHOD OF OPTICAL)
DEVICE)



#3

Honorable Assistant Commissioner
for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, this Information Disclosure Statement is submitted in the above-identified patent application. A listing of documents to be published on the face of any patent granted from this application is submitted herewith on Form PTO-1449. Any other documents or information submitted for consideration by the Examiner are listed in this paper. A copy of each U.S. and foreign patent, or each publication or portion thereof listed or herein identified, is submitted herewith.

CERTIFICATION

This Information Disclosure Statement is submitted within three months of the filing date of the above-captioned U.S. patent application. Accordingly, no fee is due or payable at this time.

This Information Disclosure Statement is submitted prior to the mailing date of the first Office Action on the merits in the above-identified application. Accordingly, no fee is due or payable at this time.

The Examiner is requested to acknowledge consideration of the information provided in this paper in accordance with prescribed procedures.

Please charge any additional fees or credit any overpayments in connection with this paper to Deposit Account No. 08-1480.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Stanley P. Fisher', written over a horizontal line.

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March 27, 2002

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Form PTO 1449 U.S. Department of Commerce Patent and Trademark Office Information Disclosure Statement by Applicant	ATTY. DOCKET NUMBER NITT.0068		SERIAL NUMBER 10/083,617
	APPLICANT AOKI et al		
	FILING DATE February 27, 2002		GROUP

U.S. Patent Documents

Examiner Initial	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBC LASS	FILING DATE

Foreign Patent Documents

Examiner Initial	DOCUMENT NUMBER	FILING DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
						YES	NO
	06-160788	11/25/92	Japan			Abstract	X

Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)

		K. Kawano, M. Kohtoku, M. Ueki, T. Ito, S. Kondoh, Y. Noguchi and Y. Hasumi, "Polarisation-insensitive Travelling-wave Electrode Electroabsorption (TW-EA) Modulator with Bandwidth Over 50 GHz and Driving Voltage Less than 2V", Electronic Letters, 28 th August 1997, Vol. 33, No. 18, pp. 1580-1581
		L. Morl, D. Hoffmann, K. Matzen, C. Bornholdt, G.G. Mekonnen, F. Reier, "Traveling Wave Electrodes for 50 GHz Operation of Opto-Electronic Devices Based on InP", IEEE, 11 th International Conference on Indium Phosphide and Related Materials, 16-20 May 1999, pp. 385-388
EXAMINER		DATE CONSIDERED

EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP 609; draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant